

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----x  
In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,  
  
Debtors.

:  
: Chapter 11 Case No.  
:

: 08-13555 (SCC)  
: (Jointly Administered)  
:

-----x  
Ref. Docket No. 46705, 46881,  
46970, 46971, 46984, 46987, 46988,  
46993-46995

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 25, 2014, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
2<sup>nd</sup> day of December, 2014

/s/ Cassandra Murray

Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2018

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.  
TRANSFEROR: BARCLAYS BANK PLC  
C/O NEW FINANCE ALDEN SPV  
ATTN: CHRIS SCHOLFIELD  
885 THIRD AVENUE, 34TH FLOOR  
NEW YORK NY 10022

Please note that your claim # 58808 in the above referenced case and in the amount of  
\$1,042,092.07 allowed at \$771,701.66 has been transferred (unless previously expunged by court order)

GOLDMAN SACHS & CO.  
TRANSFEROR: ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.  
ATTN: MICHELLE LATZONI  
200 WEST STREET  
NEW YORK NY 10282

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 46881 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/25/2014

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 25, 2014.

## **EXHIBIT B**

TIME: 11:18:05  
DATE: 11/25/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: BARCLAYS BANK PLC ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: BARCLAYS BANK PLC C/O NEW FINANCE ALDEN SPV ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: FCDB LBU 2009 LLC C/O NEW FINANCE ALDEN SPV ATTN: CHRIS SCHOLFIELD 885 THIRD AVE 34TH FL NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: METHOD INVESTMENTS & ADVISORY LTD C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P.	TRANSFEROR: BARCLAYS BANK PLC C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P.	TRANSFEROR: CHENAVARI FINANCIAL ADVISORS LTD C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
BANCA POPOLARE DI MILANO S.C.A.R.L.	TRANSFEROR: SANTANDER PRIVATE BANKING SPA ATTN: MARIA TERESA GUERRA PIAZZA MEDA N. 4 MILANO 20121 ITALY
CENTRUM BANK AKTIENGESellschaft	TRANSFEROR: BANK JULIUS BAER & CO. LTD. KIRCHSTRASSE 3 VADUZ 9490 LIECHTENSTEIN
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: GRUSS GLOBAL INVESTORS MASTER FUND ENHANCED, LTD. ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER ST LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: GRUSS GLOBAL INVESTORS MASTER FUND, LTD. ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER ST LONDON EC2N 2DB UNITED KINGDOM
ECHO INVESTMENTS I LIMITED	TRANSFEROR: UBS AG, LONDON BRANCH KKR FUNDING & SETTLEMENT DESK, C/O TREASURY DEPARTMENT 555 CALIFORNIA STREET, 50TH FLOOR SAN FRANCISCO CA 94104
GOLDMAN SACHS & CO.	TRANSFEROR: ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P. ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P. ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: LIQUIDATION OPPORTUNITIES MASTER FUND LP ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: LIQUIDATION OPPORTUNITIES MASTER FUND, L.P. ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: WILSHIRE INSTITUTIONAL MASTER FUND II SPC ON BEHALF OF WIL ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
GRUSS GLOBAL INVESTORS MASTER FUND ENHANCED, LTD.	TRANSFEROR: DEUTSCHE BANK SECURITIES INC C/O GRUSS ASSET MANAGEMENT LP ATTN: MICHAEL MONTICCILO 667 MADISON AVENUE, 3RD FLOOR NEW YORK NY 10065
GRUSS GLOBAL INVESTORS MASTER FUND, LTD.	TRANSFEROR: DEUTSCHE BANK SECURITIES INC C/O GRUSS ASSET MANAGEMENT LP ATTN: MICHAEL MONTICCILO 667 MADISON AVENUE, 3RD FLOOR NEW YORK NY 10065
LIQUIDATION OPPORTUNITIES MASTER FUND LP	TRANSFEROR: BARCLAYS BANK PLC C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: ILLIQUIDX LLP C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022
LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: YORVIK PARTNERS LLP ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022
LUZERNER KANTONALBANK AG	BAKER & MCKENZIE LLP ATT. IRA A. REID 1114 AVENUE OF THE AMERICAS NEW YORK NY 10036
LUZERNER KANTONALBANK AG	LEGAL & COMPLIANCE DEPARTMENT PILATUSSTRASSE 12 LUZERN CH-6002 SWITZERLAND
RAHN & BODMER CO.	TRANSFEROR: CENTRUM BANK AKTIENGESellschaft ATTN. ENRICO NUSSIO TALSTRASSE 15, POSTFACH 8022 ZURICH SWITZERLAND
SANTANDER PRIVATE BANKING SPA	F/K/A MELIORBANCA PRIVATE SPA ATTN: SERENA ROVERI VIA SENATO 28 MILANO 20121 ITALY
STIBILLE BERGER	TRANSFEROR: LUZERNER KANTONALBANK AG LIBELLENRAIN 25 6004 LUZERN SWITZERLAND
UBS AG, LONDON BRANCH	TRANSFEROR: MIZUHO INVESTORS SECURITIES CO., LTD ATTN: KAZUYA ICHIKAWA AND MARIA MIYAZAWA (TOKYO OFFICE) 1 FINSBURY AVENUE LONDON EC2M 2PP UNITED KINGDOM
UBS AG, LONDON BRANCH	TRANSFEROR: MIZUHO INVESTORS SECURITIES CO., LTD ATTN: KAZUYA ICHIKAWA/MARIE MIYAZAWA (TOKYO OFFICE) 1 FINSBURY AVENUE LONDON EC2M 2PP UNITED KINGDOM
WILSHIRE INSTITUTIONAL MASTER FUND II	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) GLOBAL EVENT DRIVEN OPPORTUNITIES SEGREGATED PORTFOLIO C/O ALDEN GLOBAL CAPITAL, LLC 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
WILSHIRE INSTITUTIONAL MASTER FUND II	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) GLOBAL EVENT DRIVEN OPPORTUNITIES SEGREGATED PORTFOLIO C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
WILSHIRE INSTITUTIONAL MASTER FUND II	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) GLOBAL EVENT DRIVEN OPPORTUNITIES SEGREGATED PORTFOLIO C/O ALDEN GLOBAL CAPITAL, LLC; ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022

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